

**Application for Modular Exemption for the CFP certification course**

<b>Name</b>	:	
<b>New IC number</b>	:	
<b>Correspondence Address</b>	:	
		<b>Post Code:</b>
<b>Contact Number</b>	:	<b>Mobile:</b>
<b>Email address</b>	:	<b>Office:</b>

**PAYMENT MODE**

Exemption fee : **RM 175.00** Payment to : **FINANCIAL PLANNING ASSOCIATION OF MALAYSIA**

By Cash  By Cheque : \_\_\_\_\_

By Credit Card (Direct Debit)  VISA  MASTER  AMEX (4 digits bank code on front of card \_\_\_\_ {Amex card only})

Card Number : \_\_\_\_\_ Card Expiry Date: \_\_\_\_\_

I am a **degree holder** and would like to apply for exemption based on the following qualification obtained (please tick ✓):

(✓)	Qualification	M1	M2	Tax Planning workshop
	FiMM active member who has passed 'CUTE' examination	X		
	FiMM active member who has passed 'PRS' examination	X		
	Registered Financial Planners (MFPC) Holder	X	X	X
	Syariah Registered Financial Planners (MFPC) Holder	X	X	X

**Note:**

**Successful applicants must attend the Tax Planning workshop before registering for further modules of the certification program.**

For this application, you need to :

1. Attach a **certified** copy of your **degree and academic transcript** or a certified copy of your **approved credentials** to support your application. Certification can be done by a Commissioner of Oath, CFP certificant, our approved Education Providers or member of MIA. All certification must bear the full name and membership number of certificant (where applicable).
2. Complete the **Trade membership** (if you are not a member yet) and **Associate membership** forms. Enclose together with **payment** to FPAM. Payment is only required for Associate membership application.
3. Applicants are required to complete both the Trade Membership and Associate Membership forms.
4. All applications are subject to review and approval by FPAM. FPAM may in its absolute discretion refuse to grant the modular exemption to any applicant.
5. If you have any concerns, issues or further queries regarding your modular exemption, please contact us by email at *membership@fpam.org.my*

The membership form can be obtained from FPAM or our approved Education Providers. Please note applications that are not fully completed and/or accompanied by certified copies of documents and Trade membership form will not be processed. **Fax and/or photocopy applications will not be accepted.**

I affirm the facts given are true and correct.

Signature \_\_\_\_\_

Date \_\_\_\_\_



Unit 305, Block A, Phileo Damansara I  
 Jalan 16/11, Seksyen 16, Off Jalan Damansara  
 46350 Petaling Jaya, Selangor  
 TEL : 03 - 7954 9500  
 FAX : 03 - 7954 9400  
 WEBSITE: www.fpam.org.my

GST TAX FILE NO. :000173154304

For office use only	
Discrepancy	
O/R #	

## TRADE MEMBER REGISTRATION FORM – CFP CERTIFICATION COURSE

Please complete in "BLOCK LETTERS". (Please circle where applicable)

### 1. PARTICULARS OF APPLICANT

<b>Name (Full name as in NRIC):</b>			
<b>New IC number / Passport No.:</b>		<b>Date of Birth:</b>	
<b>Correspondence Address:</b>			
			<b>Post Code:</b>
<b>Mobile No.:</b>		<b>Home Tel:</b>	
<b>Email address (Preferred):</b>			
<b>Email address (Alternate):</b>			
<b>Gender : Male / Female</b>	<b>Marital Status: Single / Married / Others</b>	<b>Race : Bumiputra / Chinese / Indian / Others</b>	

### 2. EDUCATION ATTAINED

University	Qualification	Year awarded

**Note : Please include certified true copy of degree**

### 3. EMPLOYMENT

<b>Name of Organisation:</b>		<b>Job Title:</b>	
<b>Company's address:</b>			
<b>Post Code:</b>		<b>Office No.:</b>	<b>Fax No.:</b>
<b>Industry Profile:</b>	INSURANCE	UNIT TRUST	BANK
	ACCOUNTANT	ASSET MANAGEMENT	FINANCE COMPANY
TRUST & WILLS	STOCK BROKING	FINANCIAL ADVISORY	NON FINANCE CORPORATION
	ACADEMIA	STUDENT	

### 4. WORKING EXPERIENCE

Years of Experience	Industry (Eg. Insurance, Unit Trust)	Position Held	Company

### 5. MEMBERSHIP FEE

**MEMBERSHIP FEE WAIVED FOR 1<sup>ST</sup> YEAR UPON APPLICATION FOR MODULAR EXEMPTION.**

### 7. DECLARATION (BY APPLICANT)

I hereby declare that all information is true to the best of my knowledge, and I understand FPAM reserves the rights to verify information I have provided in this form. I agree to adhere by FPAM's Professional Code of Ethics & Professional Responsibilities.

Signature : \_\_\_\_\_ Date : \_\_\_\_\_

Trade Member ID : \_\_\_\_\_

Date : \_\_\_\_\_



<i>For office use only</i>	
Module 1 completed	
Discrepancy	
Checked by	
O/R #	

## ASSOCIATE MEMBER APPLICATION FORM – CFP CERTIFICATION COURSE

Please complete in "BLOCK LETTERS". (Please circle where applicable)

### 1. PARTICULARS OF APPLICANT

<b>Name (Full name as in NRIC):</b>			
<b>New IC number / Passport No.:</b>		<b>FPAM Member ID:</b>	
<b>Correspondence Address:</b>			
			<b>Post Code:</b>
<b>Mobile No.:</b>		<b>Home Tel.:</b>	
<b>Email address (Preferred):</b>			
<b>Email address (Alternate):</b>			
<b>Gender : Male / Female</b>	<b>Marital Status: Single / Married / Others</b>	<b>Race : Bumiputra / Chinese / Indian / Others</b>	

### 2. EDUCATION ATTAINED

University	Qualification	Year Awarded

### 3. EMPLOYMENT

<b>Name of Organisation:</b>			
<b>Company's address:</b>			
<b>Post Code:</b>		<b>Office No.:</b>	<b>Fax No.:</b>
<b>Job Title:</b>			<input type="checkbox"/> Employed <input type="checkbox"/> Self-Employed
<b>Industry Profile:</b>	INSURANCE	UNIT TRUST	BANK
	ACCOUNTANT	ASSET MANAGEMENT	FINANCE COMPANY
TRUST & WILLS	STOCK BROKING	FINANCIAL ADVISORY	NON FINANCE CORPORATION
	ACADEMIA	STUDENT	

### 4. OTHER INFORMATION

- |  |                              |                             |
|--|------------------------------|-----------------------------|
| 1. Have you ever been charged or convicted in a court of law, or are there any pending charges?                                    | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 2. Have you had a business related licence, registration or membership revoked, denied or suspended?                               | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 3. Are you an undischarged bankrupt or ever been declared bankrupt?  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 4. Have you ever been refused membership of a statutory professional or other body in respect of your professional capacity?       | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 5. Have you ever been subject to disciplinary proceeding or expelled by a statutory body in respect of your professional capacity? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 6. Have you ever been dismissed or had a proper authority withdrawn on ethical or legal grounds?                                   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 7. Have you ever have past or pending claims made against your professional indemnity insurance in relation to financial advice?   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

*Note: If you have answered YES to any of the above questions, please attach relevant documents which provide full details of the matters.*

### 5. PAYMENT

Payment to : **FINANCIAL PLANNING ASSOCIATION OF MALAYSIA**

Application fee (included 6% GST):     **RM150** - January to December                       **RM75** - July to December

By Cash                       By Cheque : \_\_\_\_\_

By Credit Card (Direct Debit)     VISA     MASTER     AMEX (for AMEX card holder : 4 digit bank code on front of card \_\_\_\_\_ )

Card number : \_\_\_\_\_ Card Expiry date: \_\_\_\_\_

### 6. DECLARATION (BY APPLICANT)

I hereby declare that all information is true to the best of my knowledge, and I understand FPAM reserves the rights to verify information I have provided in this form. I agree to adhere by FPAM's Professional Code of Ethics & Professional Responsibilities.

Signature : \_\_\_\_\_ Date : \_\_\_\_\_

Approved By : \_\_\_\_\_

AFPM No. : \_\_\_\_\_