Financial Planning Symposium 2017

Global Experiences in Financial Planning & Advice

Friday, 21 April 2017 | 8.30AM to 5.30PM
Conference Hall 2 @ Securities Commission, Kuala Lumpur

10 CPE	10 CE	8 CPD	6 CPD	5 CEP
SIDC	FPAM	FIMM	MFPC	FPSB India

Introduction

This Symposium is a gathering of key players and practitioners in the global financial planning profession.

There will be 5 interesting panels covering relevant and important topics in financial planning.

The moderators and panellists are carefully selected to share their vast experience, knowledge, and opinions on the profession.

This will give a good insight to practitioners who wants to enhance and escalate their practice.

Target Participants

- Practising Financial Planners
- Financial Advisor Representatives
- Insurance / Takaful Advisers
- Regulators
- Academicians / Educators
- Other Financial Professionals

PANEL	TOPIC
1	Building a Profession in a Product Regulation Environment: The Global View
2	The Future Financial Planning Practice – Big Firm, Independent Practice or Both?
3	Fintech and the Future of Financial Planning – Financial Planners & Robo Advisors
4	Islamic Financial Planning & Wealth Management – Importance & Relevance today
5	Running a Family Office – The Inside Story

Price & Registration

Types	Price per pax *(RM, inclusive of 6% GST)*	
	FPAM Member	Public
Group Rate (minimum 3 pax)*	300	318
Early Bird (Register by 3 April 2017)	318	350
Normal	350	400

Registration is confirmed only upon receipt of proof of payment

Register online via the "Log In" button at http://www.fpam.org.my.

*For Group Rate, please complete the attached Registration Form and email to FPAM at events@fpam.org.my.

For enquiries, contact Soo Ching at 03-7954 9500.

Cancellation / Refund Policy
Fees are not refundable. Replacement of delegate(s) is acceptable before 10 April 2017.

Sponsors

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The Financial Planning Symposium 2017 is brought to you by:

Revolving Programme

Time	Agenda	
7.50AM	**Registration & Light Refreshment**	
8.50AM	**Opening Address** **Mr Ismitz Matthew de Alwis**, President, *Financial Planning Association of Malaysia (FPAM)*	
8.55AM	**Keynote Address** **En. Zainal Izlan Zainal Abidin**, Managing Director of Development & Islamic Markets, *Securities Commission Malaysia (SC)*	
9.05AM	**Global Address** **Ms Pamela Packard**, Chairperson, *Financial Planning Standards Board* (*FPSB)*	
9.15AM	**PANEL 1 : Building a Profession in a Product Regulation Environment: The Global View**	
	Moderator: **Rajen Devadason**, Licensed Financial Planner, *Manulife Asset Management*	**Panelists:** 1. European View: **Paul Grimes**, CEO, *FPSB Ireland* 2. Canadian Story: **Dawn Hawley**, Chairperson, *Financial Planning Standards Council (FPSC)* 3. South Africa Story, the FPSB regulatory engagement strategy: **Gerhardt Meyer**, Board member, *FPSB*
10.30AM	**Tea Break**	
11.00AM	**PANEL 2 : The Future Financial Planning Practice – Big Firm, Independent Practice or Both?**	
	Moderator: **Mark O'Dell**, Group CEO, *Manulife Holdings Bhd*	**Panelists:** **1.** **Barry Horner**, CEO, *Paradigm Norton* **2.** **Neil Kendall**, Managing Director, *Tupicoffs* **3.** **Nancy Kistner**, Managing Director, *Bank of America Private Wealth Management*
12.15PM	**PANEL 3 : Fintech and the Future of Financial Planning – Financial Planners & Robo Advisors**	
	Moderator: **Dinesh Virik**, Managing Director, *Novagni Analytics & Advisory*	**Panelists:** **1.** **Neil Kendall**, Chairman, *Financial Planning Association of Australia* **2.** **Godfrey Nti**, CEO, *Financial Planning Institute of South Africa* **3.** **Ulf Mannhardt**, Director, *PLANEJAR - Associação Brasileira de Planejadores Financeiros*
1.30PM	**Lunch**	
2.30PM	**PANEL 4 : Islamic Financial Planning & Wealth Management – Importance & Relevance today**	
	Moderator: **Puan Sharifatul Hanizah**, CEO, *Securities Industry Development Corporation (SIDC)*	**Panelists:** **1.** **Sani Hamid**, Director, *Financial Alliance Pte Ltd* **2.** **Tri Djoko Santoso**, Chairman, *FPSB Indonesia* **3.** **Catherine Khoo**, Licensed Financial Planner, *CWA*
3.45PM	**Tea Break**	
4.00PM	**PANEL 5 : Running a Family Office – The Inside Story**	
	Moderator: **Robert Duncan**, Wealth Manager, *Blueprint Planning*	**Panelists:** **1.** **Mari Emmanouilides**, CEO, *Taler Group* **2.** **Dr Mohar Yusof**, Head of Family Office & Islamic Financial Planning Unit, *Blueprint Planning*

Guest Speakers

Opening Address:

Ismitz Matthew de Alwis, CFP
President, FPAM
Malaysia

Mr De Alwis is the CEO of Kenanga Investors Berhad (KIB). He is responsible for the overall asset and investment management business of KIB and its subsidiaries. He started his career as an Investment Analyst with a regional research & advisory firm, where he obtains vast regional exposure in Hong Kong, Philippines, Dubai and Singapore. He brings with him 23 years of experience, multiple expertise and several leadership roles in the fields of financial and investment management both regionally and locally. He joined Kenanga Investors in June 2013 upon the acquisition by Kenanga Investors of ING Investment management business in Malaysia, ING Funds Berhad where he was the Executive Director and country head.

Mr De Alwis attended the Advanced Business Management Program (ABMP) by International Institute for Management Development (IMD), Lausanne, Switzerland and holds a MBA where he graduated with distinction. In addition, he has a Bachelor Degree (H) in Business Administration and holds two other professional qualifications from the Chartered Institute of Marketing UK (CIM UK), and is a Certified Financial Planner (CFP). He holds a Capital Markets Services Representative's Licence (CMSRL) from the Securities Commission for fund management and investment advice. Mr De Alwis is currently also on the board of the Federation of Investment Managers Malaysia (FIMM).

Keynote Address:

Zainal Izlan Zainal Abidin, CFA
Managing Director, Securities Commission Malaysia
Malaysia

En. Zainal Izlan Zainal Abidin is Managing Director for Development and Islamic Markets at the Securities Commission Malaysia (SC). He joined the SC in 2011. He is also Chairman of Capital Markets Malaysia, an entity established by the SC to promote the Malaysian capital market.

He has over 25 years' experience in the financial services industry, primarily in the capital market segment. He began his career with Citibank Malaysia before joining MIDF Amanah Asset Management (MIDF Asset). During part of his tenure with MIDF Asset, he was seconded to Schroder Investment Management in London. He was CEO/Director of MIDF Asset and Head of the Asset Management Division of the MIDF Group until 2007 when he joined *i*-VCAP Management, an Islamic fund management firm, as its first CEO.

He presently sits on the Technical Committee of both the Islamic Financial Services Board and the Finance Accreditation Agency Malaysia. He is also a member of the Malaysian Institute of Accountants' Islamic Finance Committee. He was previously President of CFA Malaysia, Vice Chairman of the Malaysian Association of Asset Managers (MAAM) and Chairman of MAAM Islamic Fund Management Sub-Committee.

Global Address:

Pamela Packard, CPA
Chairperson, FPSB
USA

Pamela J. Packard, CPA, is president and CEO of Strategic Enterprises, LLC in Greenwich, Connecticut. Ms. Packard provides strategic advisory services to senior executives and businesses, primarily in the professional and financial services sectors.

Prior to her current position, Ms. Packard spent nearly 25 years at BDO Seidman, LLP (now BDO USA, LLP), where she served in various positions, including vice chairman, board member and national tax business line leader. Ms. Packard serves as a director and chair of the audit committee of Brown Jordan International, Inc., and as president and a director of the National Association of Corporate Directors' New York Chapter. Her term on the FPSB Board of Directors ends on 31 March 2018.

Speakers

Paul Grimes, CFP
CEO, FPSB Ireland
Ireland

Paul Grimes is the principal of Grimes International and CEO of Financial Planning Standards Board Ireland Ltd. In his more than 25 years of experience in the financial services arena, he has worked with institutions and clients in Australia, Europe, the Middle East, Africa, Asia and the U.S.

He lectures in financial planning and has been featured in a number of professional publications. He is the current chairperson of FPS's European Forum.

Neil Kendall, CFP
Chairman, FPA of Australia
Australia

Neil Kendall is the Managing Director of Tupicoffs, an independent financial planning practice. Mr. Kendall has more than 14 years experience advising high net worth clients and wealthy families. He was the Australian Financial Planner of the Year in 2006 and runner-up in 2009.

Mr. Kendall was elected to the board of the Financial Planning Association (FPA) five years ago and is chairman of the FPA Board.

Dawn Hawley, CFP
Chairperson, Financial Planning Standards Council (FPSC)
Canada

Dawn Hawley is a salaried financial planner with the Angus Watt Group-National Bank Financial in Edmonton, Alberta.

She has more than 35 years of experience in the legal and financial services industry. Her current volunteer roles include FPSC, executive member of STEP Edmonton, member of the Estate Planning Council.

Nancy Kistner, CFP
FPSB Board Member
United States

Nancy A. Kistner is a managing director and wealth planning solutions market director at U.S. Trust, Bank of America Private Wealth Management.

She currently serves as the chair of the CFP Board's Women's Inititative and on the board of The Coalition for Debtor Education which is based at Fordham Law School. Her term on the FPSB Board of Directors ends on 31 March 2019.

Gerhardt Meyer, CFP
FPSB Board Member
South Africa

Gerhardt Meyer is the Head of Governance for Old Mutual Wealth in South Africa. He is responsible for a national team of legal advisors, based across South Africa.

Mr. Meyer currently serves on and chairs the Regulations Advisory Panel to the FPSB, and his term on the FPSB Board of Directors ends on 31 March 2020.

Godfrey Nti, CFP
CEO, Financial Planning Institute of South Africa
South Africa

Godfrey Nti joined the Financial Planning Institute of Southern Africa (FPI) in 2005 as financial manager. He was promoted to COO in 2008 and later as CEO.

Before joining FPI, Mr. Nti was the financial director of De Montfort University South Africa for 4 years. He is also a member of CIMA.

Barry Horner, CFP
FPSB Board Member
United Kingdom

Barry Horner, CFP, is the chief executive for Paradigm Norton and is included in the top 20 of 'The Most Influential Financial Advisers in the UK'.

Together with the 46-strong Paradigm team, he has built one of the foremost respected and multi-award winning UK financial planning practices.

Speakers

Ulf Mannhardt, CFP
Director, PLANEJAR - Associação Brasileira de Planejadores Financeiros
Brasil

Ulf Mannhardt has over 35 years of professional experience within the Brazilian, German and international financial markets. He is currently an independent specialist in transnational financial planning and structuring.

In 2000, he was a cofounder of PLANEJAR - Associação Brasileira de Planejadores Financeiros, which was formerly known as *Instituto Brasileiro de Certificação de Profissionais Financeiros (IBCPF)*, the Brazilian Institute for Certification of Financial Professionals, and served as director and president from 2010 to 2013. He now serves as international liaison.

Catherine Khoo, CFP, IFP
Licensed Financial Planner, CWA
Malaysia

Catherine Khoo is a CWA Certified Financial Planner and a licensed Capital Market Services Representative of CIMB-Principal Asset Management Berhad.

She has over 10 years of experience in the financial services industry as an insurance agent, unit trust consultant and professional estate planner including Islamic estate planning.

She has been actively writing financial articles for Personal Money and a Mandarin weekly Magazine.

Sani Hamid, CFP
Director, Financial Alliance Pte Ltd
Singapore

Sani Hamid heads the Financial Alliance Islamic Wealth Advisory (FAiWA) division within Financial Alliance.

He has more than 20 years of working experience, having worked for companies such as BNP Peregrine Securities and Standard & Poor's. He appears regularly on CNBC and Channel News Asia.

Mari Emmanouilides, CFP
FPSB Board Member
Brazil

Mari Emmanouilides, CFP, is the executive director and CEO of Taler Group, an independent multi-family office based in São Paulo, Brazil.

Ms. Emmanouilides is a member of IBCPF and has a bachelor's in law from Mackenzie Presbyterian University (Brazil). She sits on the FPSB Board of Directors.

Tri Djoko Santoso, CFP
Chairman, FPSB Indonesia
Indonesia

Tri Djoko, CFP, is an independent commissary of PT Takaful Keluarga, a Shariah compliance life insurance company in Indonesia and the principal of LN Consulting, a financial advisor firm specializing in estate planning in Jakarta.

He has 30 years of sales and marketing experience, serving multinational companies in Indonesia, ie IBM Indonesia, and Prudential Indonesia.

Dr Mohar Yusof, CFP
Head of Family Office & Islamic Financial Planning Unit at Blueprint Planning
Malaysia

Dr Mohar has over 20 years of entrepreneurial and managerial experience gained in start-ups and growing businesses coupled with scholarly and professional work in the fields of family business, entrepreneurship, business strategy, family office solutions and financial planning.

He is also the second generation of a family business and advising family-run firms in areas related to their ownership, business and family dimensions.

Moderators

Rajen Devadason, CFP
Licenced Financial Planner, Manulife Asset Management
Malaysia

Rajen is a professional speaker, with corporate clients for seminar and workshops included, among others, Bank Negara Malaysia's AKPK, British American Tobacco, Citibank, and others. With his prior experience as a journalist, he has published numerous books.

Rajen is also the CEO of RD WealthCreation Sdn Bhd.

Sharifatul Hanizah, CFP
CEO, Securities Industry Development Corporation (SIDC)

Puan Sharifatul Hanizah has almost 30 years of experience in the financial services industry. She is a Fellow of the Financial Services Institute of Australasia and a CFP certificant.

Prior to her appointment as CEO of SIDC in August 2016, her most recent role was as the CEO of Muamalat Invest Sdn Bhd (MISB) where she successfully led an impressive twenty-fold increase in AUM from RM120 million to RM3 billion during her four-year tenure.

She was also the immediate past President of FPAM.

Mark O'Dell, LLU, ChFC
Group CEO/ Executive Director, Manulife Holdings Bhd
Malaysia

Mark O'Dell is a 37 year veteran of the life insurance industry, including 22 years in Asia. He is a past president of the Financial Planning Association of Singapore as well as The Life Insurance Association (LIA).

Currently, Mark serves as Group CEO/Executive Director for Manulife Holdings Berhad (MHB). A Malaysia public listed company, MHB's principal businesses include life, health insurance, unit trusts and pensions.

Robert Duncan, CFP
Wealth Manager, Blueprint Planning
Malaysia

Rob has over 20 years experience in the financial services industry in the US. He has been a (CFP®) Practitioner since 2003. Previously, Rob has worked as a wealth advisor for two large Southeast regional banks in the US, as well as for Merrill Lynch.

Currently, Rob is working with Blueprint Planning in Kuala Lumpur to expand and grow their wealth management practice. Rob has a passion for helping clients identify and achieve their life goals through comprehensive and holistic financial planning, a disciplined wealth management process, and proactive personal service.

Dinesh Virik, CFP
Managing Director, Novagni Analytics & Advisory
Malaysia

Dinesh's career commenced in Normandy over 17 years ago where he gained experience in corporate finance. In 2004, he moved to the development of Normandy's wealth management business.

Dinesh currently spearheads the establishment of Novagni's investment advisory and consultancy business. He is also a CMSRL holder in Investment Advice.

REGISTRATION FORM – FPAM GLOBAL FINANCIAL PLANNING SYMPOSIUM 2017

21 April 2017 (Friday) | 8.30AM – 5.30PM | Securities Commission, Kuala Lumpur

**To be eligible for Group Rate, each delegate in the group must complete the Registration Form and make a bundle submission*

Name (Dr / Mr / Mrs / Ms / Mdm): As per NRIC / Passport

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NRIC / Passport No.: ________________ **FPAM Membership No.:** ____________

Email address: ____________________ **CMSRL Licence No.:** ______________

(valid email address is needed for confirmation of registration)

Mobile No.: ____________________ **Telephone No.:** ________________

Company Name: ____

Address: ____

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Designation: ____

PRICE (Please ✓ whichever applicable)

✓	ITEM	Price per pax (RM) (inclusive of 6% GST)
	FPAM Member Early Bird (by 3rd April 2017)	318
	FPAM Member Normal Rate	350
	Public Early Bird (by 3rd April 2017)	350
	Public Normal Rate	400
	Group FPAM Member Rate (Minimum 3 pax) *	300
	Group Public Rate (Minimum 3 pax) *	318

MODE OF PAYMENT (Please ✓ whichever applicable)

☐ **CASH / ONLINE / ATM TRANSFER**

Please bank into **FPAM's Alliance Bank A/C 1210 9001 0005 395** and email or fax a copy of payment receipt / bank-in slip to us

☐ **CHEQUE**

Please issue the cheque payable to **Financial Planning Association of Malaysia**.

Address: Unit 305, Block A, Phileo Damansara I, Jalan 16/11, off Jalan Damansara, 46350 Petaling Jaya, Jaya, Selangor.

Cheque No.: ____________________ **Amount: RM** ______________

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{4 digits bank code on front of card __ __ __ __(Amex only)}

Amount: RM __________ (*We will process and charge your credit card upon receipt of this form)*

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