

4th ANNUAL CONFERENCE

ACCREDITED BY

SECURITIES INDUSTRY DEVELOPMENT CENTRE (SIDC) - 10 CPE points

MALAYSIAN FINANCIAL PLANNING COUNCIL (MFPC) - 7 CPD hours

FINANCIAL PLANNING ASSOCIATION OF MALAYSIA (FPAM) - 8 CE points

Understanding the Modern Portfolio Theory of Independent Investment Planning for your High Net Worth Client

Date & Day : 11th November 2009 Wednesday

Time : 8.00am to 5.30pm

Venue : Securities Commission
3, Persiaran Bukit Kiara,
Bukit Kiara, 50490 Kuala Lumpur

SIDC CPE-
ACCREDITED:
10 CPE POINTS

Endorsed & Accredited by



Alvin Yap

B. Eng. M.ScFP(NZ) ChLP FChFP RFP

Alvin Yap is the Managing Director and Chief Executive Officer of A.D. CAPITAL SDN BHD an independent Licensed Investment and Financial Adviser firm by Securities Commission and Bank Negara Malaysia. He has devoted his practice to Asset Protection & Preservation for High Complex Wealth Individual, structuring their complex wealth matters through the elements of Preservation first, Accumulation second and lastly distributing their hard earned wealth for Generational Wealth Strategy.



Maurice CS Chia

MBA in Finance, BSc in Mathematics & Computer Science

Maurice CS Chia is a Chartered Mathematician and Fellow of the Institute of Mathematical Applications (UK). He heads the Axel Thompson Group in Singapore and Malaysia, a company he founded that specializes in delivering cutting-edge financial risk solutions with innovative delivery and design. In his previous role as Director, Financial Planning Development in an offshore financial advisory, he helped develop an asset allocation system that was part of an all-in-one approach to holistic financial planning.



Nigel James Ball

FPC (Adv)

Nigel James Ball is a Regional Sales Manager with Hansard International Ltd, an offshore Life Insurance Company registered with offshore Labuan Malaysia and having its Headquarters in Isle of Man. Having over 33 years of experience in Financial Services Industry namely Sun Alliance, Schroder Life, AXA Life, AIA Life, Great Eastern Life and Transamerica Life (Bermuda) Ltd, he has held many senior executive positions. With his broad management experience in IFA and Brokers channel in UK, Hong Kong and Singapore, he has been designated to tap the growing IFA and Broker channel business in Malaysia.



Dennis Tan

AFP

Dennis Tan is the Managing Director of iFAST Capital Sdn. Bhd. with over 6 years experience in unit trust industry. iFAST Capital hold the Capital Market Services License for dealing in unit trusts and investment advice with Securities Commission Malaysia. Dennis Tan is also the Executive Director for iFAST-OSK Sdn. Bhd., iFAST Services Centre Sdn. Bhd. and also FA Corporate and Compliance Consultancy Sdn. Bhd.



Chua Poh Eng

CA(M) ACMA

Mr. Chua Poh Eng was appointed as an Associate Director and Head of Investment Advisory Division of A.D. CAPITAL SDN BHD, an independent Licensed Financial and Investment Adviser with both Securities Commission and Bank Negara Malaysia. A Chartered Accountant by profession, he is an Associate member of the Chartered Institute of Management Accountants (CIMA), United Kingdom and a member of the Malaysian Institute of Accountants (MIA). Prior to joining A.D. CAPITAL, he has more than 15 years experience in the field of Finance, Accounting, Auditing, Corporate Finance, Treasury Duties and Capital Market sector.



Michael K.W. Li

M.A. (Dunlem) B.A. (Hons)

Michael is Director, Asia Pacific Region for Financial Express Holdings Limited based in Hong Kong and has over 10 years of investment industry experience. He began his career in the City of London as a Fund Analyst/Manager with Greig Middleton Stockbrokers where he was responsible for the due diligence and research of fund managers across the globe. More recently he was the Investment Specialist for Putnam Investments European Institutional business with product management responsibilities for their Currency overlay, European Equity and Asia Pacific Equity product suite which totaled over US\$40 billion in AUM. He was recruited in September 2006 to build the Asian business for Financial Express. Today the company is a global leader in the fund data, analysis and solutions industry with a Asia customer base that spans across the IFA, Wealth Management, Banking and Life Insurance industry.

MAIN ORGANIZER



A.D. CAPITAL

Your financial partner

(Licensed Financial and Investment Advisers)

CO - ORGANIZER



For enquiries, kindly call Chris/Keh Sim/Joey/Azlin at 03-7956 8833 or email info@adcapital.com.my

Understanding the Modern Portfolio Theory of Independent Investment Planning for your High Net Worth Client

BRIEF INTRODUCTION

The recent Global Financial Crisis has one way or the other caused many stock markets to crash in September and October last year. Traditional unit trust funds suffered huge losses last year and early this year. Even though the performance of those funds has recovered greatly over the past few months, the bad experience has caused some investors, especially those with low risk tolerance, to sell a big portion of their holdings as they felt very uncomfortable with the risk involved. Independent Financial Planner must adopt and develop new approach and strategy. The philosophy of managing risk for return strategy has significantly played an important role in advising and managing a client's investment portfolio.

CONFERENCE PROGRAMME

8.00 am	Registration
8.45 am	Alvin Yap Managing High Net Worth Individual's Wealth Complexities
9.45 am	Michael Li Importance of carrying out Funds Due Diligence.
10.45am	Tea Break
11.30am	Maurice Chia Efficient Frontier - Modeling an optimal Portfolio
12.30pm	Lunch
2.00pm	Nigel James Ball Insurance Wrapper - structured investment within an insurance product.
3.00pm	Dennis Tan Managing your High Net Worth Client portfolio effectively via WRAP account
4.00pm	Tea Break
4.30pm	Chua Poh Eng How to build a Model Portfolio as an Independent Financial Planner for your High Net Worth Client
5.30pm	End

WHO SHOULD ATTEND

- Will Writers
- Trust Officers
- RFP, CFP, FChFP, ChFC holders & Future Financial Practitioners
- Financial Intermediaries
- Licensed Representative with Securities Commission and BNM
- Professionals like Accountants, Company Secretaries, Tax Agents, & related professionals

OUTLINES

8.45 am

Alvin Yap - Principal Consultant & Managing Director of A.D. CAPITAL SDN BHD

Managing High Net Worth Individual's Wealth Complexities

- 1) The changing needs of HNWI after the global crisis.
- 2) If it's worth striving for, it's worth preserving. Managing risk for return.
- 3) The need for Family Office Wealth Management services.
- 4) Long lasting wealth via Generational Wealth Strategy

9.45 am

Michael Li - Director, Asia Pacific Region of Financial Express Holdings Limited HK

Importance of carrying out Funds Due Diligence.

- 1) The important considerations for an IFA when picking the right fund.
- 2) How to design the optimal fund of fund portfolio.
- 3) Back Testing, Indices, Real Time during analysis.
- 4) How Independent Financial Planner compete effectively along High Private Bankers

11.30am

Maurice Chia - Founder of Axel Thompson Singapore Pte Ltd

Efficient Frontier - Modeling an optimal Portfolio

- 1) From product pusher to 'Assets under Advise'. How Financial Planners should elevate themselves?
- 2) Understanding the Efficient Frontier Modeling in managing your High Net Worth Client Portfolio effectively.
- 3) It's all about 'Risk'. Learn how to minimize risk and maximize return.
- 4) Understanding the need for portfolio management tools in managing 'Asset Under Advise'

2.00pm

Nigel James Ball - Regional Sales Manager with Hansard International Ltd

Insurance Wrapper - structured investment within an insurance product

- 1) Understanding Insurance Wrapper and its benefits to your High Net Worth client.
- 2) Differences between traditional Investment Linked Insurance vs. Insurance Wrapper product
- 3) The many faces of Insurance Wrapper. How does it suit for client's needs?
- 4) Accessing into offshore funds for multi diversification strategy in an Insurance Wrapper account

3.00pm

Dennis Tan - Managing Director of iFAST Capital Sdn. Bhd

Managing your High Net Worth Client portfolio effectively via WRAP account.

- 1) Conventional vs Modern Approach of managing your High Net Worth Client's portfolio. Understand the differences
- 2) The need for consolidating Client's portfolio with a 24 hours x 7days x 52 weeks
- 3) The Global trend. Moving forward to effective portfolio management via 'nav to nav' switching, online instant portfolio rebalancing, reduced entry charges and etc
- 4) Independent advice. How to charge a fee and boost 'Asset Under Advise'?

4.30pm

Chua Poh Eng - Associate Director, Head Of Investment of A.D. CAPITAL SDN BHD

How to build a Model Portfolio as an Independent Financial Planner for your High Net Worth Client.

- 1) The changing trend. Why Independent Financial Planner should cultivate and develop the skills of Modern Portfolio Theory.
- 2) Building a Robust Investment strategy via the strategy of Core, Alternative Investment and Satellite
- 3) Active Management. Switching, Rebalancing and Optimizing as part of the Strategy
- 4) Embracing technology. What aspiring Independent Financial Planner should adopt as part of their practice

Registration Form - Understanding the Modern Portfolio Theory of Independent Investment Planning for your High Net Worth Client

CONFERENCE FEE

- Student or Full RFP/CFP designees
- On and before 28th October 2009..... RM 100
 - After 28th October 2009..... RM 150
- Others (Non RFP/CFP designees)
- On or before 28th October 2009.....RM 328
 - After 28th October 2009.....RM 398

Including lunch, 2 coffee / tea breaks & handout materials

PARTICIPANT DETAILS

Name (Mr/Ms/Mrs/Dr)	
NRIC No	Designation : RFP/CFP/ChFC/Others: _____
Office	
Mobile	Fax
Company	Email
Address	

PAYMENT OPTIONS

Pay by Cheque No: _____ RM _____
Please post your crossed cheque payable to **A.D. CAPITAL SDN BHD**

Pay by Credit Card: _____ RM _____
Please charge to my Master Visa

Card No

CVV2 No. (last 3 digit no. at the back of card)

Name of Cardholder
(as appeared in the card)

Signature: _____ Expiry Date: _____

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